

THE PROFINITE APPROACH TO DECIDABILITY QUESTIONS

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Algebraic Theory of Automata and Logic
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- Recall that a *pseudovariety* (of semigroups) is a class of finite semigroups closed under H, S, P_{fin} .
- The pseudovariety generated by a class \mathcal{C} of finite semigroups is $HSP_{\text{fin}}(\mathcal{C})$.
- Pseudovarieties are often defined by generators, namely by applying natural algebraic operations to members of given pseudovarieties.
- Operators of special interest are

algebraic operation	operator	notation
direct product	<i>join</i>	$V \vee W$
semidirect/wreath product	<i>semidirect product</i>	$V * W$
extensions with prescribed idempotent classes	<i>Mal'cev product</i>	$V \circledast W$
power semigroup	<i>power</i>	PV

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- Say that a pseudovariety \mathbf{V} is *decidable* if there is an algorithm to effectively test whether a given finite semigroup belongs to \mathbf{V} (*membership problem*).

PROBLEM

Given an operator \mathbf{O} and (decidable) pseudovarieties $\mathbf{V}_1, \dots, \mathbf{V}_n$, determine whether $\mathbf{O}(\mathbf{V}_1, \dots, \mathbf{V}_n)$ is decidable and, in the affirmative case, find efficient algorithms to test the membership problem.

THEOREM (ALBERT-BALDINGER-RHODES' 1992, AUINGER-STEINBERG' 2003)

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None of the above operators preserves decidability.

- In general, pseudovarieties do not have free objects.
- Yet, in the context of topological semigroups, there are structures which play such a role, namely *relatively free profinite semigroups*.
- A *profinite semigroup* is a residually finite compact semigroup.
- A *pro-V semigroup* is a residually-V compact semigroup.
- A *free pro-V semigroup over X*:

$$\begin{array}{ccc}
 X & \longrightarrow & \hat{F}_X \mathbf{V} \\
 & \searrow \varphi & \downarrow \hat{\varphi} \\
 & & S
 \end{array}$$

where S is an arbitrary pro-V semigroup

- Each $u \in \hat{F}_X \mathbf{V}$ defines an operation $u_S : S^X \rightarrow S$ by $u_S(\varphi) = \hat{\varphi}(u)$.
- *Pseudoidentities*: write $S \models u = v$ if $u_S = v_S$.

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- $\hat{F}_X \mathbf{V}$ encodes a lot of information about the pseudovariety \mathbf{V} :
 - ▶ the X -generated members of \mathbf{V} are the finite continuous homomorphic images of $\hat{F}_X \mathbf{V}$;
 - ▶ the rational languages $L \subseteq X^+$ whose syntactic semigroups belong to \mathbf{V} are those such that $\overline{i(L)}$ is open, where



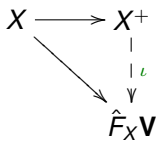
- ▶ a finite X -generated semigroup S belongs to \mathbf{V} if and only if $S \models u = v$ for all $u, v \in \hat{F}_X \mathbf{S}$ such that $p_{\mathbf{V}}(u) = p_{\mathbf{V}}(v)$;
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- Provided pseudoidentities in a basis can be (collectively) effectively checked, the pseudovariety is decidable.

THEOREM (PIN-WEIL' 1994)

Suppose that $\mathbf{V} = \llbracket u_i = v_i : i \in I \rrbracket$. Then $\mathbf{V} \circledast \mathbf{W}$ is defined by the pseudoidentities of the form

$$u_i(w_1, \dots, w_{n_i}) = v_i(w_1, \dots, w_{n_i}) \quad (i \in I)$$

where the w_j are such that $\mathbf{W} \models w_1^2 = w_1 = \dots = w_{n_i}$.

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- All operators we are considering are such that the generating class is recursively enumerable provided the argument pseudovarieties are recursively enumerable.
- Hence decidability follows if we can show $\mathcal{O}(V, W)$ is co-recursively enumerable.
- So, if we have a recursively enumerable basis of pseudoidentities, the problem is to enumerate the finite semigroups which fail at least one of them.

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- Abstracting from the Mal'cev case, this leads to the following problem for a pseudovariety \mathbf{W} :

- ▶ given a finite system of equations $U_k = V_k$ ($k = 1, \dots, m$) in the set X of variables and a continuous homomorphism $\varphi : \hat{F}_X \mathbf{S} \rightarrow S$ into a finite semigroup S ;
- ▶ we wish to decide whether there exist continuous homomorphisms ψ and δ such that the following diagram commutes

$$\begin{array}{ccccc}
 \hat{F}_X \mathbf{S} & \xrightarrow{\psi} & \hat{F}_A \mathbf{S} & \xrightarrow{p_V} & \hat{F}_A V \\
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and $p_V \psi(U_k) = p_V \psi(V_k)$ for $k = 1, \dots, m$.

- A first reduction consists in observing that it suffices to fix δ to be an onto continuous homomorphism $\hat{F}_A \mathbf{S} \rightarrow S$, which means choosing a finite set of generators for S .

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- A reformulation:

- ▶ given a finite system of equations $U_k = V_k$ ($k = 1, \dots, m$) in the set X of variables and the choice of a clopen constraint $K_x \subseteq \hat{F}_A \mathbf{S}$ for each $x \in X$;
- ▶ we wish to decide whether it is possible to evaluate each variable $x \in X$ to an element of the set K_x so that the equations $U_k = V_k$ ($k = 1, \dots, m$) become pseudoidentities valid in \mathbf{W} .
We call this a *V-solution of the system in $\hat{F}_A \mathbf{S}$ satisfying the constraints*.

- Note that the K_x are closures of rational languages $L_x \subseteq X^+$.

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- By an *implicit signature* we mean a set of members of $\bigcup_{n \geq 1} \hat{F}_n \mathbf{S}$, which includes $x_1 x_2 \in \hat{F}_2 \mathbf{S}$.
- We assume that σ is an implicit signature which has the following properties:
 - Since each $w \in \sigma$ has a natural interpretation w_S on each profinite semigroup S , profinite semigroups have a natural structure as σ -algebras.
 - Denote by $F_A^\sigma \mathbf{V}$ the σ -subalgebra of $\hat{F}_A \mathbf{V}$ generated by A .
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- We assume that σ is an implicit signature which has the following properties:
 - ▶ σ is recursively enumerable;
 - ▶ for each $w \in \sigma$ there is an algorithm such that, given a finite semigroup S , computes the operation w_S .
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- We say that the pseudovariety \mathbf{V} is σ -*reducible* with respect to a system of equations E if it satisfies the following property:
 - ▶ if there is a \mathbf{V} -solution of E in $\hat{F}_A\mathbf{S}$ satisfying a given choice of clopen constraints, then there is also a \mathbf{V} -solution of E in $F_A^{\sigma}\mathbf{S}$ satisfying the constraints.
- We say that \mathbf{V} is σ -*tame* with respect to a system of equations E if:
 - ▶ It is easy to show that if \mathbf{W} is σ -tame for some σ with respect to the system of equations $U_k = V_k$ ($k = 1, \dots, m$), then the earlier co-recursive enumerability property holds.

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- Say that \mathbf{V} is *completely σ -tame* if it is σ -tame with respect to arbitrary finite systems of equations in the signature σ .

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THEOREM (JA-WEIL' 1998)

Suppose that $g\mathbf{V} = \llbracket u_i = v_i; i \in I \rrbracket$, where the $u_i = v_i$ are *semigroupoid pseudoidentities* over finite digraphs Γ_i *with a bounded number of vertices*. Then $\mathbf{V} * \mathbf{W}$ is defined by the pseudoidentities of the form

$$z\bar{u}_i = z\bar{v}_i \quad (i \in I)$$

where the \bar{u}_i, \bar{v}_i are obtained from u_i, v_i by an evaluation of the vertices and edges of Γ_i , the initial vertex being assigned the value z , which provides a \mathbf{W} -solution of the system of equations determined by the graph.

PROBLEM

Can the finiteness condition (in red) be dropped?

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- ▶ since $\mathbf{gCom} = \llbracket xyz = zyx \rrbracket$  (Thérien-Weiss'1985),

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$$tuvw = twvu$$

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$$tu = s, tw = s, sv = t \quad \begin{array}{ccc} & w & \\ & \curvearrowright & \\ s & \xrightarrow{v} & t \\ & \curvearrowleft & \\ & u & \end{array} \quad (1)$$

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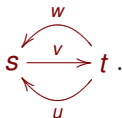
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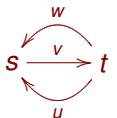
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